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Financial LLC, Robinhood Securities,  
LLC, and Robinhood Markets, Inc.

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION**

ELVIA CURIEL-RUTH, on behalf of himself  
and all others similarly situated

Plaintiff,

v.

ROBINHOOD SECURITIES, LLC;  
ROBINHOOD FINANCIAL, LLC;  
ROBINHOOD MARKETS, INC.;  
CHARLES SCHWAB & CO. INC.;  
CHARLES SCHWAB CORPORATION;  
TD AMERITRADE, INC.;  
WEBULL FINANCIAL LLC;  
E\*TRADE FINANCIAL CORPORATION;  
INTERACTIVE BROKERS LLC;  
CITADEL ENTERPRISE AMERICAS, LLC;  
MELVIN CAPITAL MANAGEMENT LP,

Defendants.

Case No.: 4:21-cv-00829-HSG

**CERTIFICATION OF INTERESTED  
ENTITIES**

The Hon. Haywood S. Gilliam

Defendants Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC, by their attorneys, Farella Braun + Martel LLP and Cravath, Swaine & Moore LLP, pursuant to Federal Rule of Civil Procedure 7.1, disclose as follows:

1. Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC are privately held companies.
2. Robinhood Markets, Inc. does not have a parent corporation.
3. Robinhood Financial LLC and Robinhood Securities, LLC are wholly-owned subsidiaries of Robinhood Markets, Inc.
4. No publicly held corporation owns 10% or more of the stock of Robinhood Markets, Inc.; Robinhood Financial LLC; or Robinhood Securities, LLC.

Pursuant to Civil L.R. 3-15, the undersigned certifies that, in addition to the parties in this action, the following listed persons, associations of persons, firms, partnerships, corporations (including parent corporations) or other entities have a financial or other interest in the subject matter or in a party that could be substantially affected by the outcome of this proceeding:

1. Baiju Bhatt
2. Vladimir Tenev
3. Index Ventures

Dated: March 11, 2021

FARELLA BRAUN + MARTEL LLP

By: 

C. Brandon Wisoff

Attorneys for Defendants  
ROBINHOOD FINANCIAL LLC, et al.